

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3795942
<b>Application Number:</b>	10026359
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8067
<b>Title of Invention:</b>	Method and system for computer-implemented trading of secondary market debt securities
<b>First Named Inventor/Applicant Name:</b>	Brijesh Agarwal
<b>Customer Number:</b>	28089
<b>Filer:</b>	Irah Donner/Trennie Snyder
<b>Filer Authorized By:</b>	Irah Donner
<b>Attorney Docket Number:</b>	1497210.00123US1
<b>Receipt Date:</b>	18-AUG-2008
<b>Filing Date:</b>	24-DEC-2001
<b>Time Stamp:</b>	15:54:45
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1310
RAM confirmation Number	1099
Deposit Account	080219
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)	

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		1497210-123US1_Amendment081808.pdf	151117 9e316d11c500953c5a20e9c35381e78d40c4	yes	22
<b>Multipart Description/PDF files in .zip description</b>					
	<b>Document Description</b>		<b>Start</b>		<b>End</b>
	Amendment - After Non-Final Rejection		1		1
	Claims		2		13
	Applicant Arguments/Remarks Made in an Amendment		14		22
<b>Warnings:</b>					
<b>Information:</b>					
2	Extension of Time	1497210-123US1_Extension_Tme081808.pdf	118075 88ca7bd1d4678d095d1132be8d3e54b29120c	no	1
<b>Warnings:</b>					
<b>Information:</b>					
3	Letter Requesting Interview with Examiner	1497210-123US1_Interview_request081808.pdf	106563 44d34023803e0941867228c9dee71b3405dab88	no	1
<b>Warnings:</b>					
<b>Information:</b>					
4	Fee Worksheet (PTO-06)	fee-info.pdf	31998 6ff8e9327525e1788ea7813c6b69303ec8b0d61	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			407753		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.